

**From:** Dankert Andrea  
**Sent:** Montag, 3. August 2009 12:28  
**To:** Stimoli Agathe  
**Subject:** RE: Results of the PWC Audit

Dear Mrs. Stimoli.

Thank you for the information.

Kind regards

AD

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**From:** Stimoli Agathe  
**Sent:** Montag, 3. August 2009 11:40  
**To:** Dankert Andrea  
**Subject:** RE: Results of the PWC Audit  
**Importance:** High

Dear Mrs Dankert,

I am investigating in order to obtain additional information about these accounts.

The information found in hyparchiv will be completed further, after a direct discussion with these two clients, at my next business trip to Madrid. This issues cannot be discussed by phone with the clients.

Thank you and best regards.

**Agathe Stimoli**  
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**From:** Dankert Andrea  
**Sent:** Mittwoch, 29. Juli 2009 14:32  
**To:** Stimoli Agathe  
**Cc:** Christen Olivier  
**Subject:** Results of the PWC Audit

Dear Mrs. Stimoli

Last week several client's files were reviewed by PWC; two of those are your clients.

As both of them are Risk 3 clients which have to be annually approved by the CRC we'd like you to get additional infos for both of them.

1.) Accounts 8351057,8355006, 8400025,8400393,8401032, 8402551

PWC's remarks are: "it is not documented how the client himself got his assets". As this client will have some assets with us (with regard to the latest account opening) we looking forward to order an Info4c-Report. The costs of about CHF 5.500,- will be charged to your cost center.

2.) Account 1283047

PWC's remark is: "too less information is available for this client"

As this in an older account opening we'd like you to fill out a new opening memo on this client; I already did some background checks on him and got some information about his political career, but none about his financial background.

In case of any questions please do not hesitate to contact me.

Thank you and kind regards

**Andrea Dankert**  
Assistant Vice President  
Compliance Officer  
SECO

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